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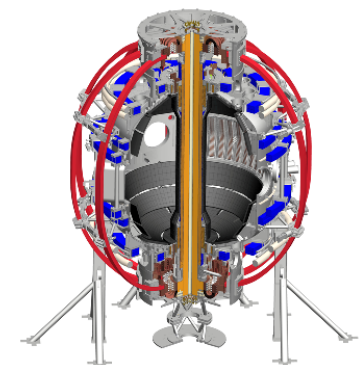


# Modeling Tokamak Transients with M3D-C1

Nate Ferraro

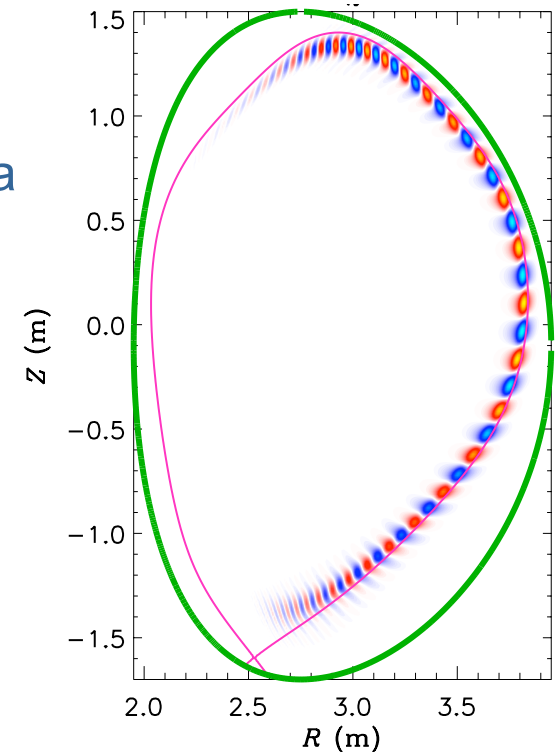
Princeton Plasma Physics Laboratory

University of Maryland  
November 30, 2016



# “Transients” Identified as Major Challenge to Successful Tokamak Reactor

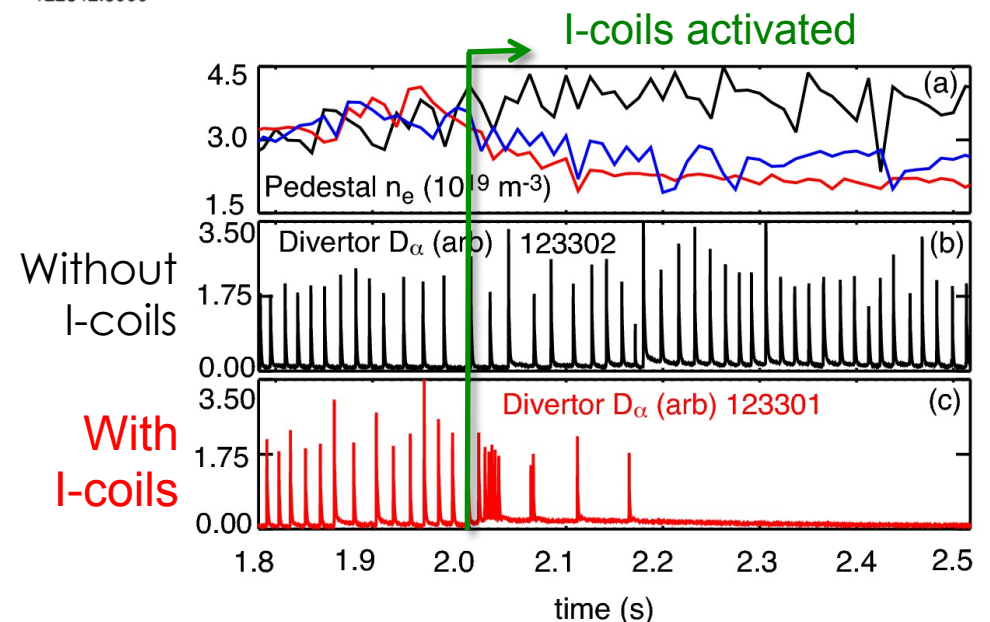
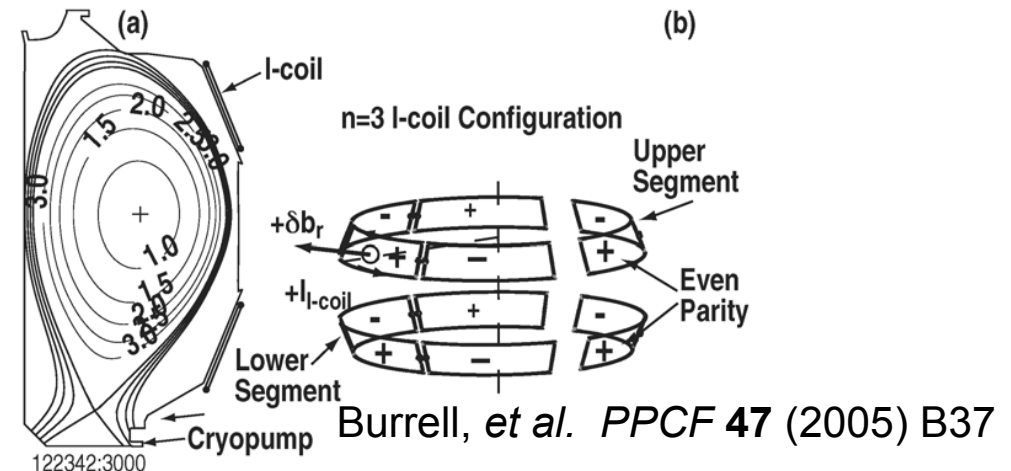
- Edge Localized Modes (ELMs)
  - Intermittent bursts of heat from plasma edge
  - Present in most H-mode scenarios
  - Understood to be ideal-MHD instabilities of the plasma edge (peeling-ballooning modes)
  - Expected to melt / erode divertor in ITER if not mitigated
- Disruptions
  - Rapid, uncontrolled loss of plasma current and thermal energy
  - Cause significant heat loads on walls and forces on conducting structures
  - Can cause relativistic electron beams (runaways)
- “ITER and later reactors will require very large reductions in the magnitude and frequency of both ELMs and major disruptions based on extrapolations from current experiments”



[http://science.energy.gov/~media/fes/pdf/program-news/Transients\\_Report.pdf](http://science.energy.gov/~media/fes/pdf/program-news/Transients_Report.pdf)

# RMPs are a Primary Strategy for ELM Mitigation

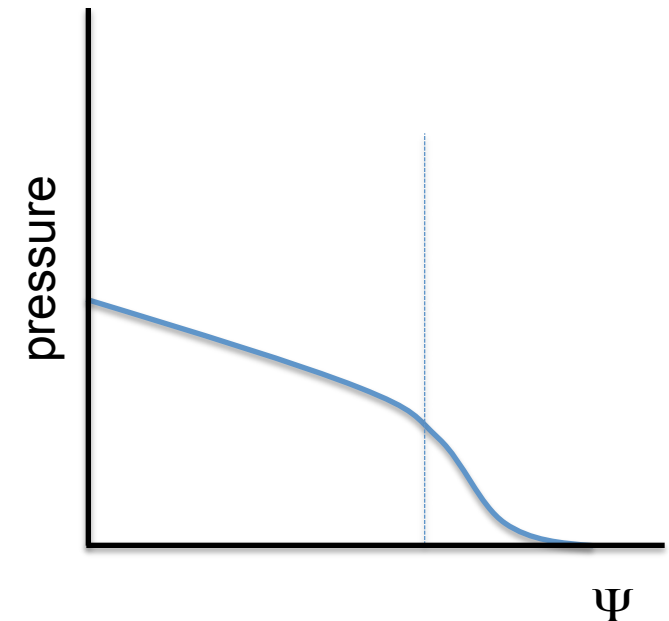
- ELMs can be completely suppressed by applying non-axisymmetric Resonant Magnetic Perturbations (RMPs)
- Works on many tokamaks
  - DIII-D, AUG, KSTAR
- Doesn't work on others
  - NSTX, MAST, JET
- Only works for certain conditions
  - $q_{95}$  windows, collisionality/density thresholds
- We can't predict when RMP ELM suppression will work**
  - **This presents big risks for ITER!**



Evans, et al. *Phys. Plasmas* 13 (2006)

# EPED Model Suggests Suppression Due to Enhanced Transport at Pedestal Top

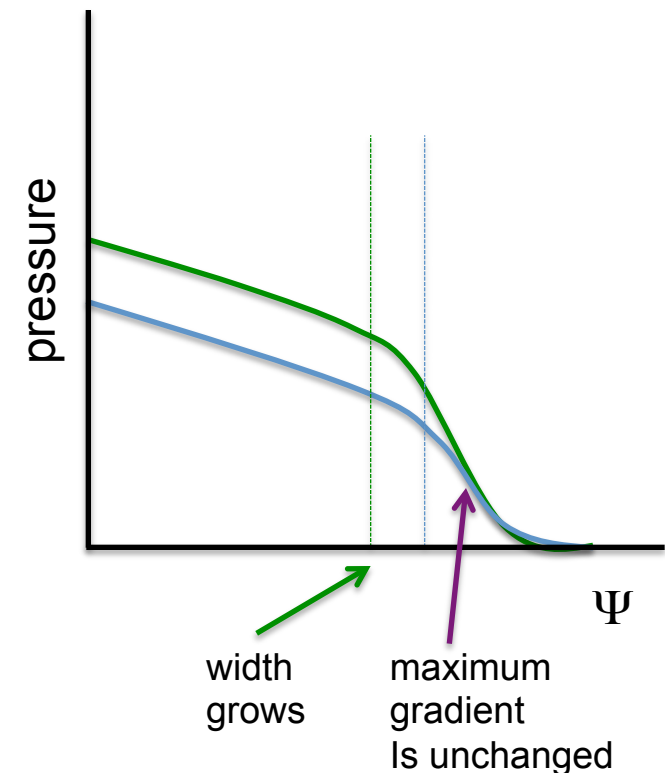
- EPED Model of pedestal structure:
  - Gradient determined by local KBM stability
  - Width grows until global P-B stability threshold is reached (ELM)





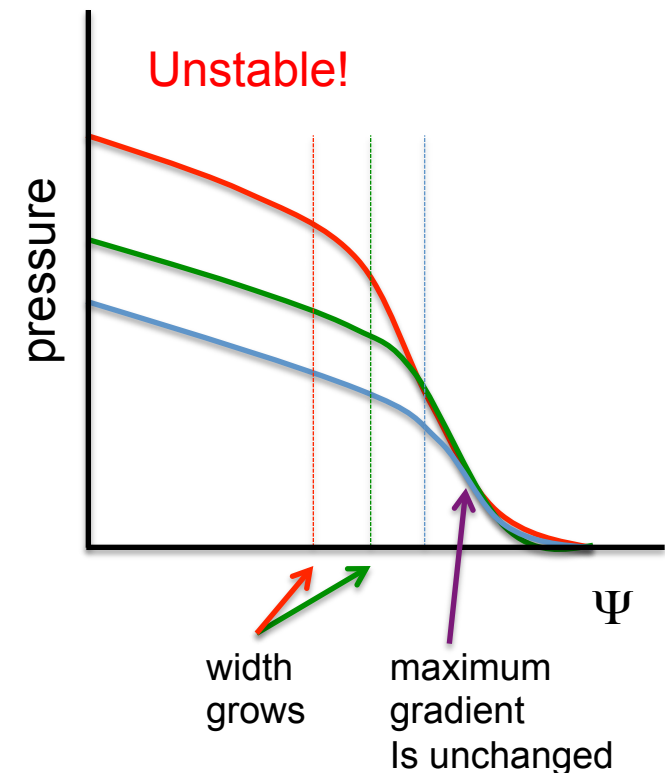
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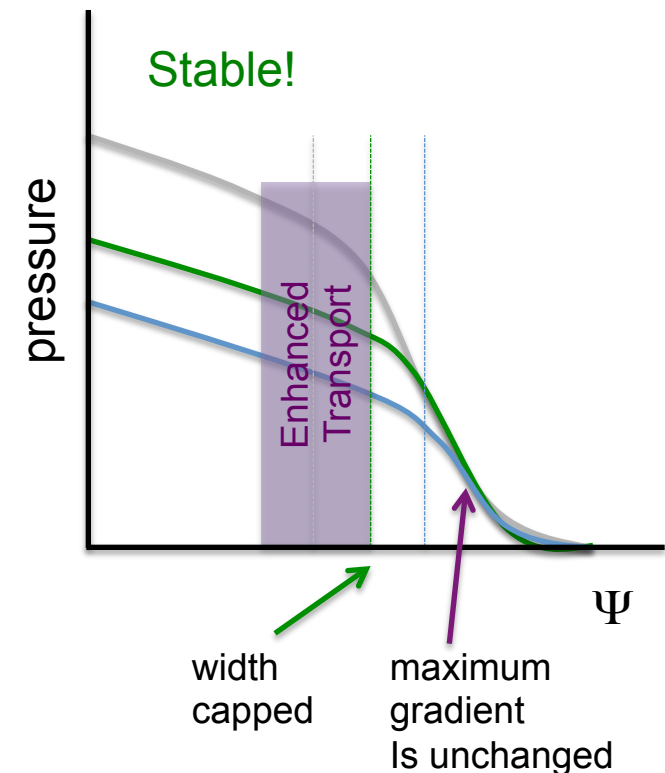
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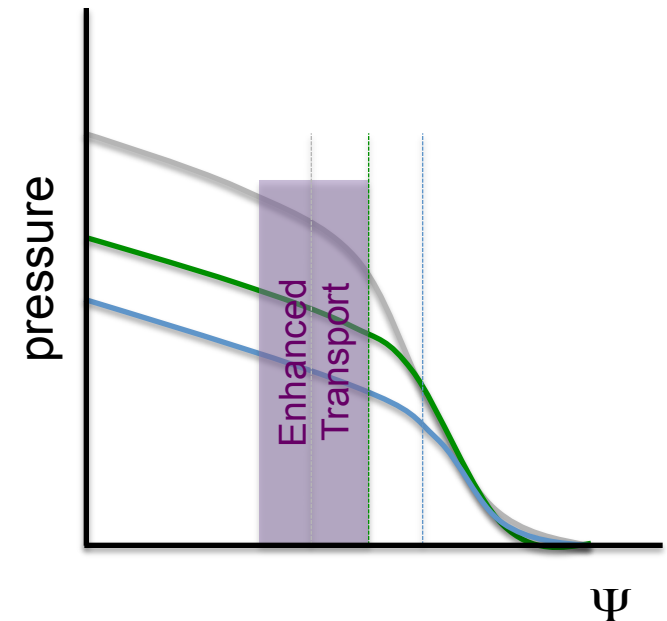
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  - Something stops widening of pedestal before threshold
  - Requires enhanced transport at  $\Psi \approx 96$ –97%



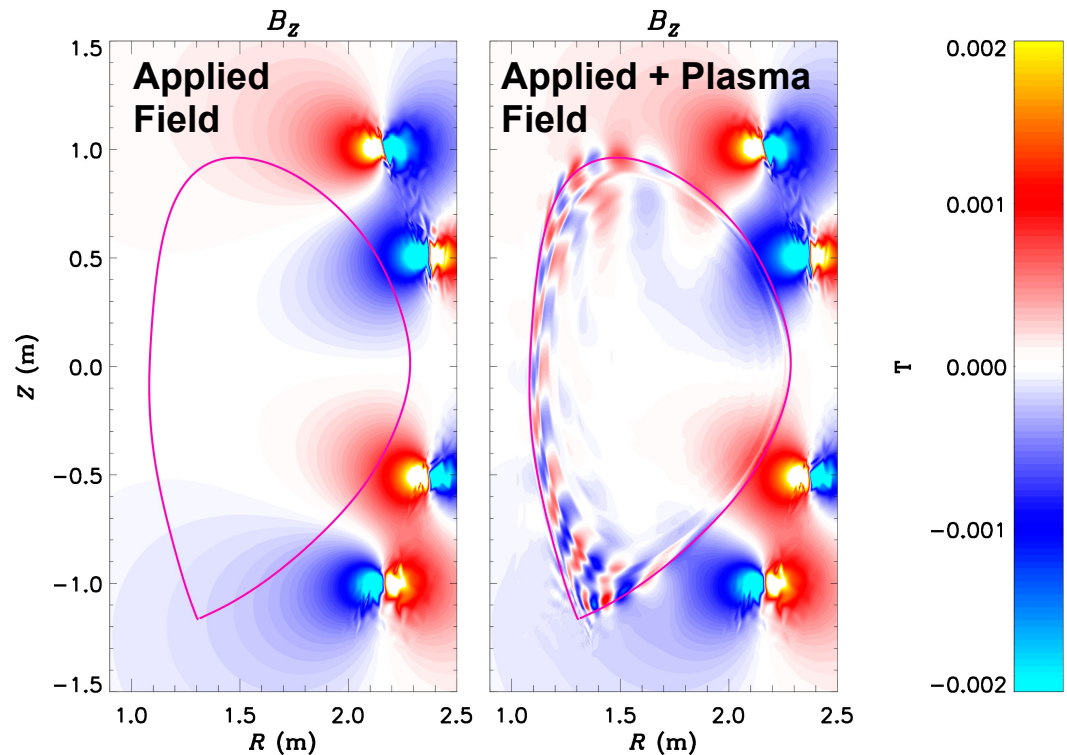
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  - Requires enhanced transport at  $\Psi \approx 96$ –97%
- Predictive modeling needs model of RMP effect on transport
  - Enhanced neoclassical transport? Turbulent transport (KBM)? Stochasticity  $\rightarrow$  parallel transport?
- Answering these questions requires knowing 3D equilibrium



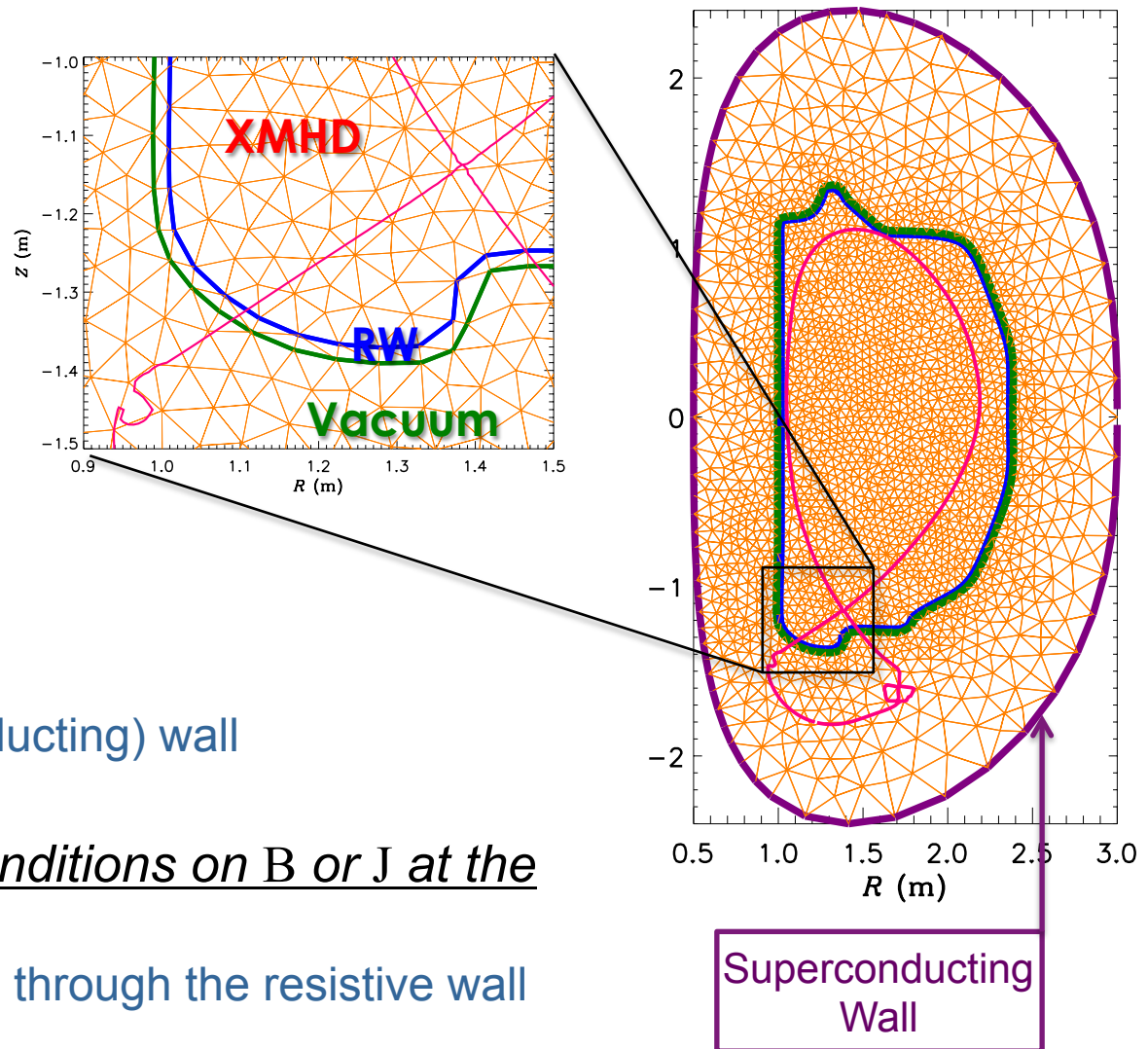
# MHD Response Plays Major Role in 3D Tokamak Equilibrium

- Perturbing field causes equilibrium to be non-axisymmetric
- Non-axisymmetric response currents in the plasma are a major contribution to perturbed equilibrium
  - Perturbed equilibrium is generally very different from axisymmetric equilibrium + applied 3D fields
- Need MHD codes to calculate perturbed equilibrium
  - IPEC (linear, ideal)
  - MARS (linear, single-fluid resistive)
  - **M3D-C1 (linear/nonlinear, two-fluid resistive)**



# M3D-C1 Is Parallel, Finite-Element Code Using Unstructured, Multi-Region Mesh

- Triangular C1 finite elements on unstructured mesh
- 3 regions inside domain:
  - XMHD (Extended MHD)
  - RW ( $\mathbf{E} = \eta_W \mathbf{J}$ )
  - Vacuum ( $\mathbf{J} = 0$ )
- Boundary conditions:
  - $\mathbf{v}, p, n$  set at inner wall
  - $\mathbf{B}$  set at outer (superconducting) wall
- There are no boundary conditions on  $\mathbf{B}$  or  $\mathbf{J}$  at the resistive wall
  - Current can flow into and through the resistive wall





# Two-Fluid Extended MHD Model

$$\frac{\partial n}{\partial t} + \nabla \cdot (n_i \mathbf{v}) = 0$$

$$n_i m_i \left( \frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} \right) = \mathbf{J} \times \mathbf{B} - \nabla p - \nabla \cdot \Pi_i$$

$$\frac{\partial p}{\partial t} + \mathbf{v} \cdot \nabla p + \Gamma p \nabla \cdot \mathbf{v} = -\frac{1}{n_e e} \mathbf{J} \cdot \left( \Gamma p_e \frac{\nabla n_e}{n_e} - \nabla p_e \right) - (\Gamma - 1) \nabla \cdot \mathbf{q}$$

$$\frac{\partial \mathbf{B}}{\partial t} = -\nabla \times \mathbf{E}$$

$$\mathbf{E} = -\mathbf{v} \times \mathbf{B} + \eta \mathbf{J} + \frac{1}{n_e e} (\mathbf{J} \times \mathbf{B} - \nabla p_e)$$

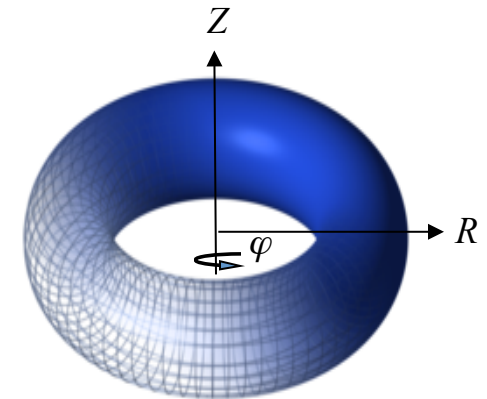
$$\Pi_i = -\mu \left[ \nabla \mathbf{v} + (\nabla \mathbf{v})^T \right] + \Pi_i^{gv} + \Pi_i^{\parallel}$$

$$\mathbf{q} = -\kappa \nabla T_i - \kappa_{\parallel} \mathbf{b} \mathbf{b} \cdot \nabla T_e$$

$$\mathbf{J} = \nabla \times \mathbf{B}$$

$$\Gamma = 5/3$$

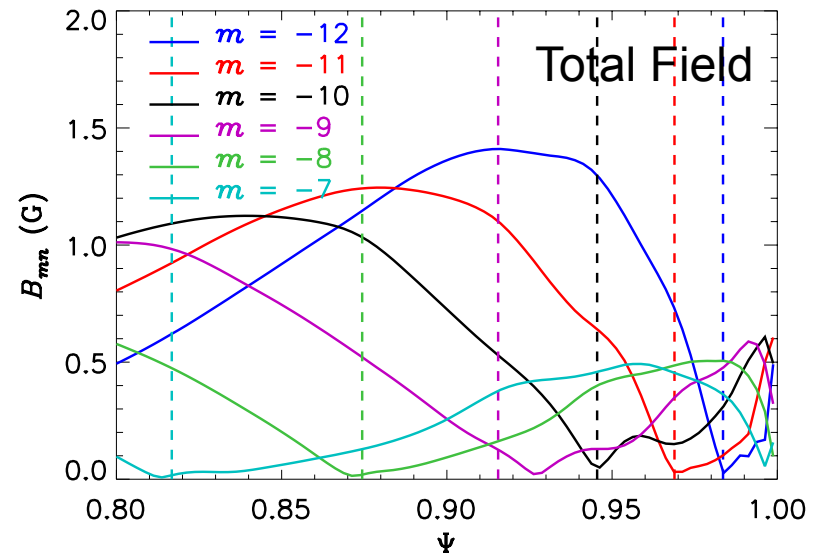
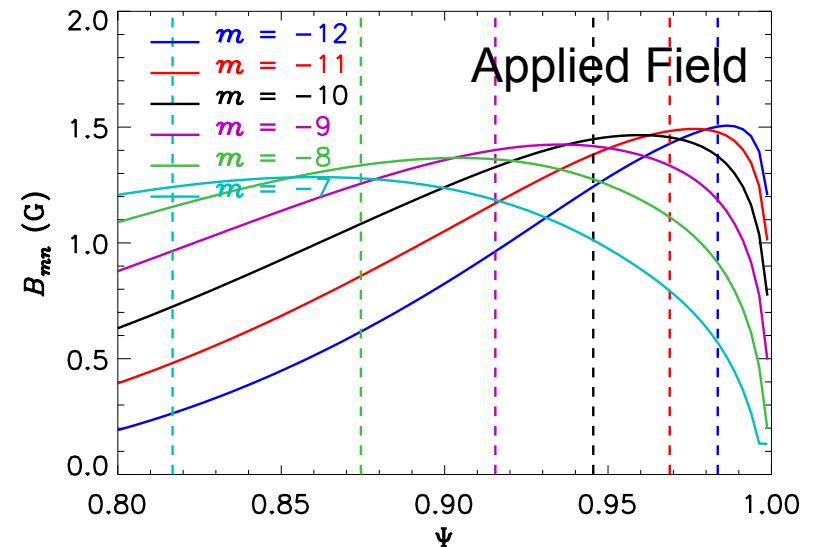
$$n_e = Z_i n_i$$



- $(R, \varphi, Z)$  coordinates  $\rightarrow$  no coordinate singularities in plasma
- Boundary conditions:
  - Linear, time-independent (**plasma response**) – single  $n$
  - Linear, time-dependent (**linear stability**) – single  $n$
  - Nonlinear, time-dependent (**nonlinear evolution**) – toroidal finite elements

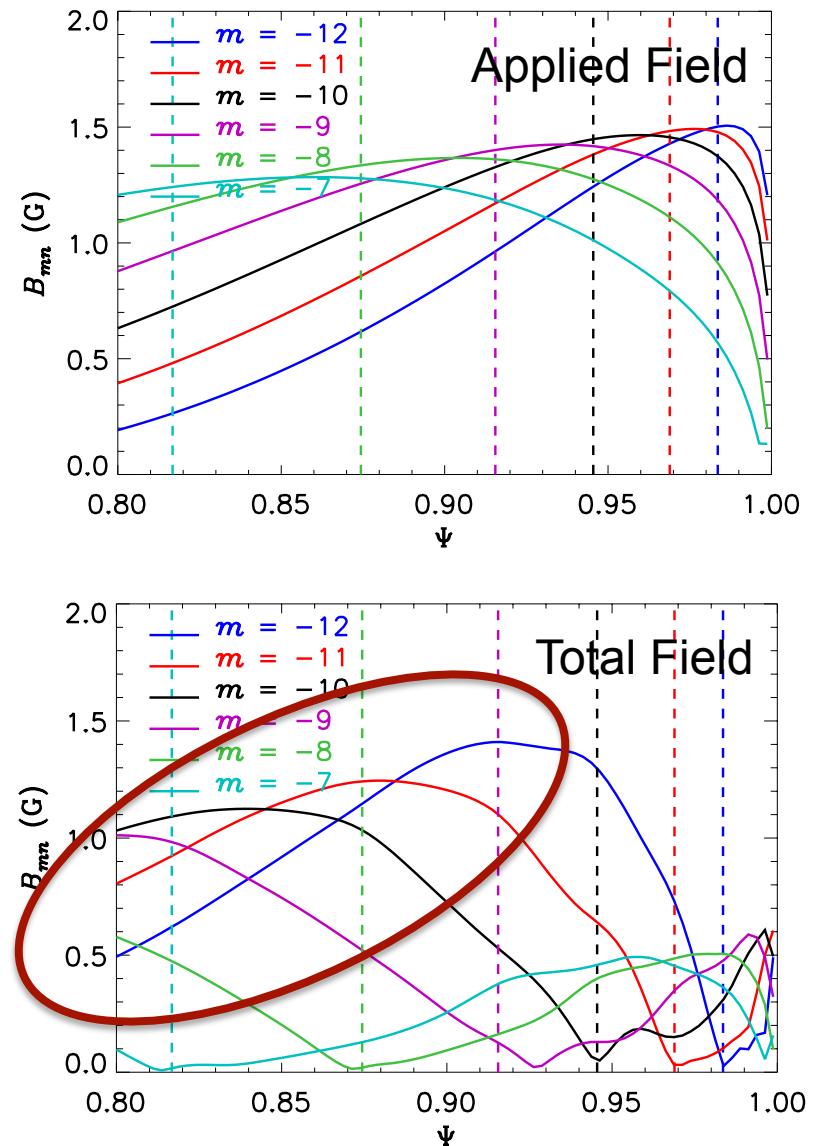
# Linear MHD Modeling Shows “Kinking,” “Screening,” and “Tearing” in Response

- **Kinking:** amplification of non-resonant field components
  - Makes distortion of surfaces larger than implied by applied fields
- **Screening:** reduction of resonant field components
  - Makes islands smaller than implied by applied fields
- **Tearing:** when plasma response fails to screen resonant components
  - Only possible in non-ideal response



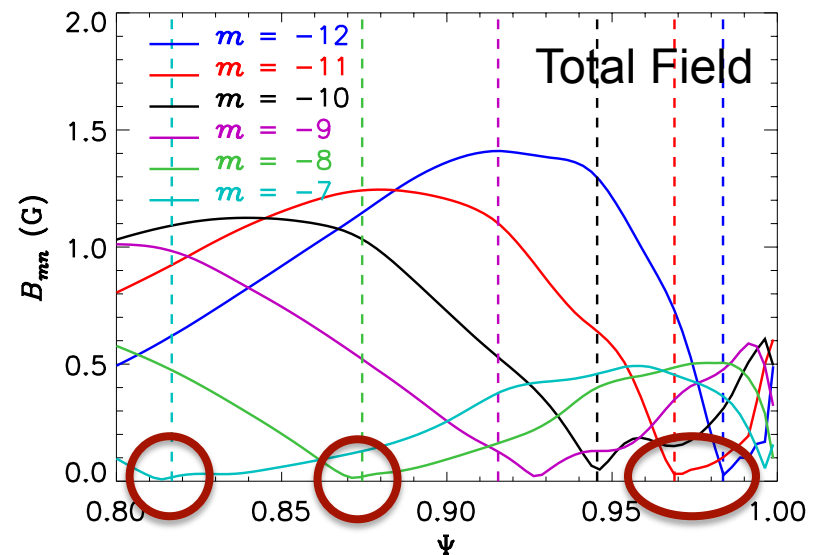
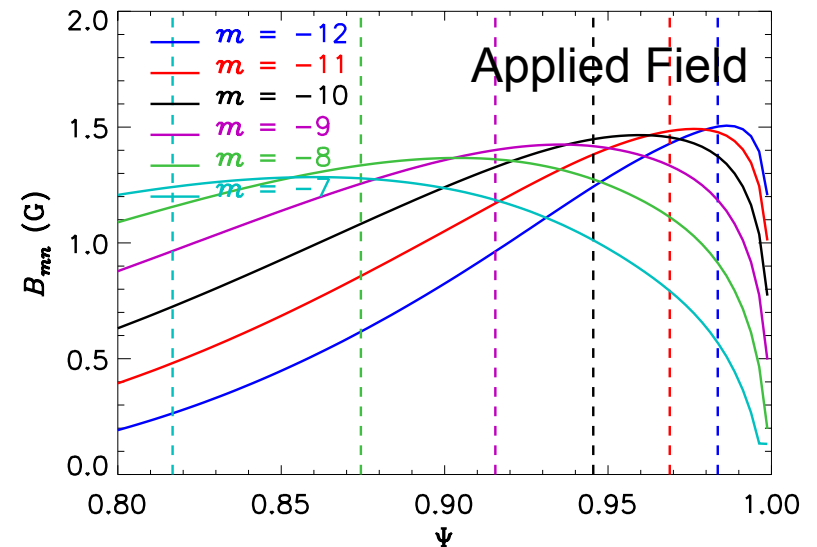
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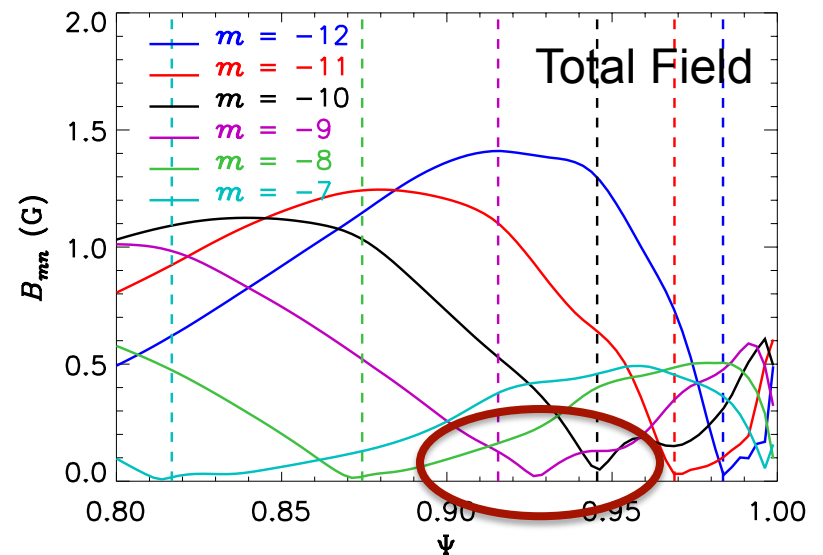
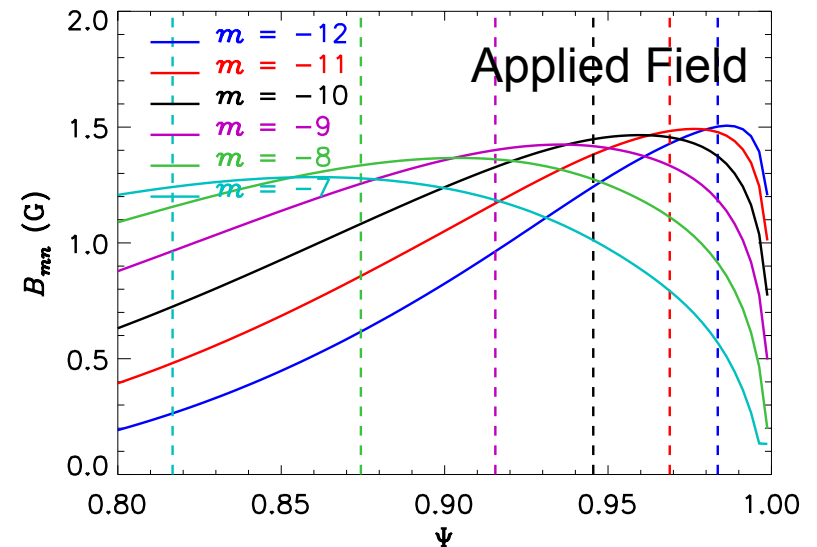
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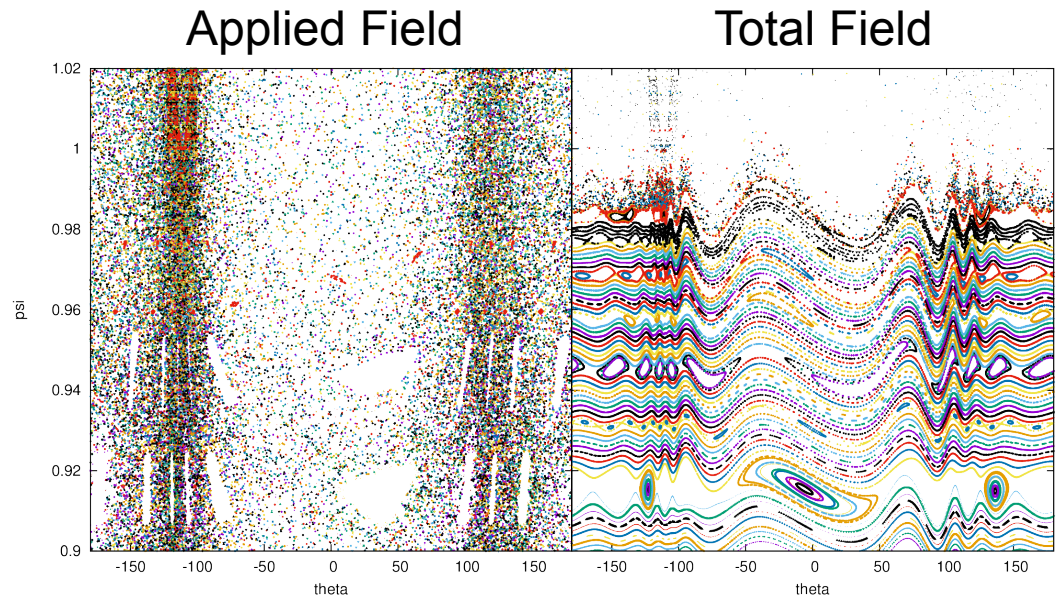
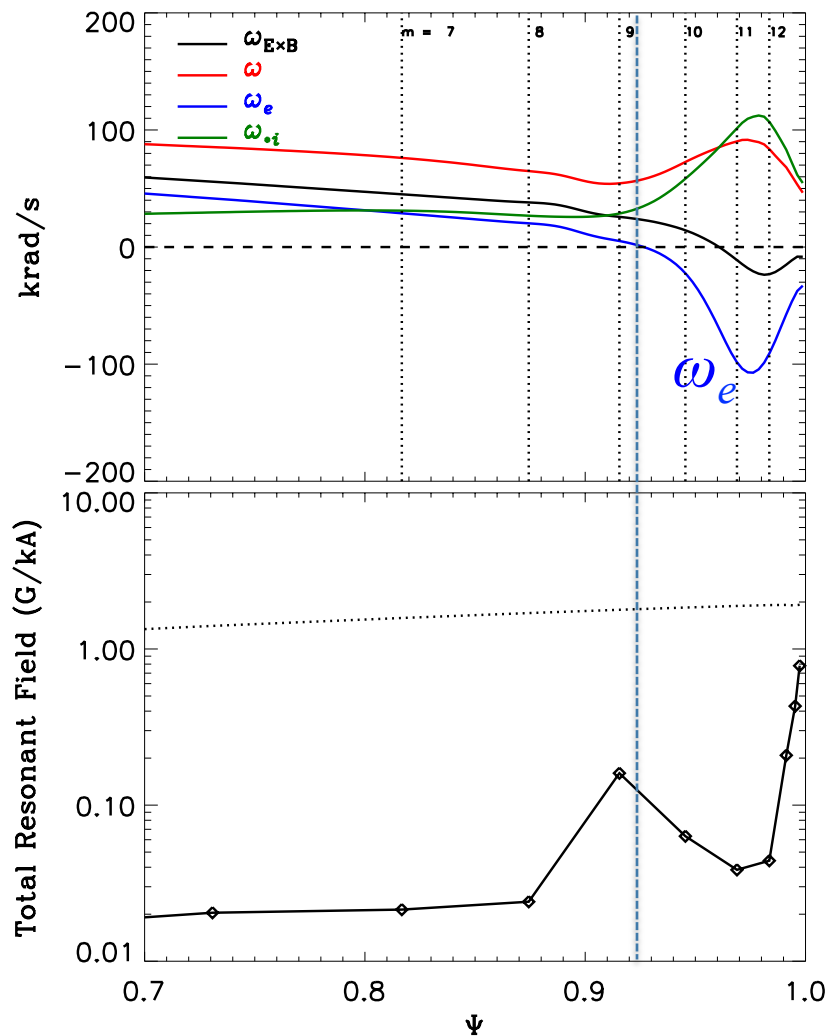


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# Tearing Response is Greatest Where Electron Rotation is Small



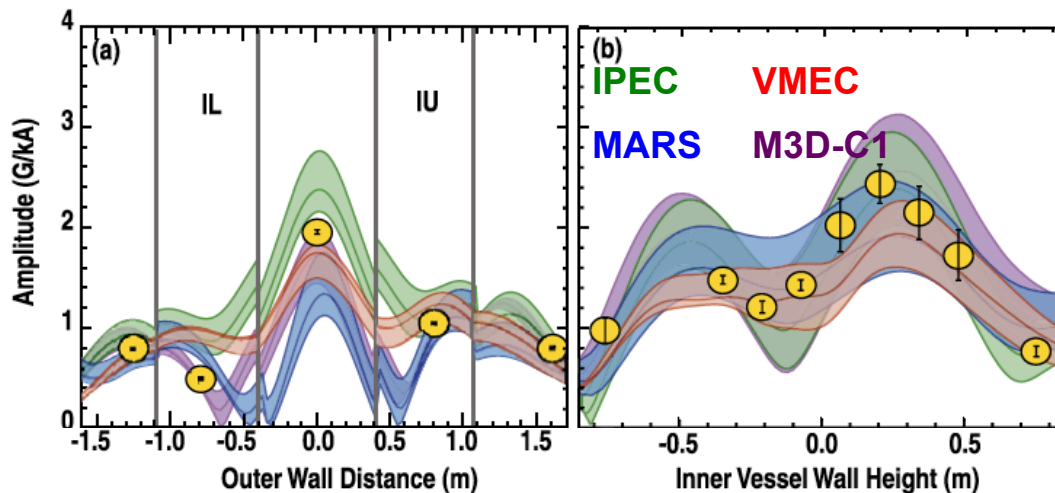
- Plasma Response mostly screens islands
- Tearing occurs where  $\omega_e$  is small

$$\begin{aligned}\omega_e &= \omega_{E \times B} + \omega_{e*} & \omega_i &= \omega_{E \times B} + \omega_{i*} \\ \omega_{e*} &= -p_e'(\psi)/n_e e & \omega_{i*} &= p_i'(\psi)/Z_i n_e e\end{aligned}$$

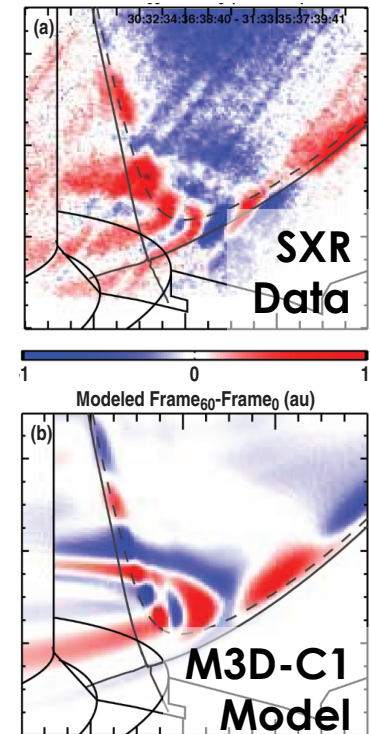


# Experiments Clearly See “Kink” Response

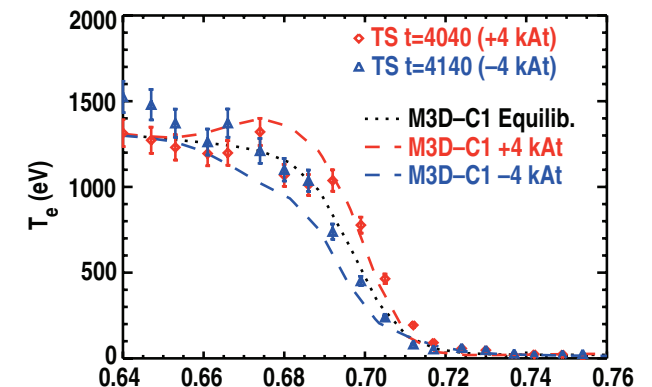
- Including plasma response is necessary to accurately model edge measurements
  - $T_e$ ,  $n_e$  profiles in edge strongly affected by “kink” response
  - Linear modeling is successful in reproducing measured profiles; magnetics data



JD King, et al. *Phys. Plasmas* **22**, 072501 (2015)

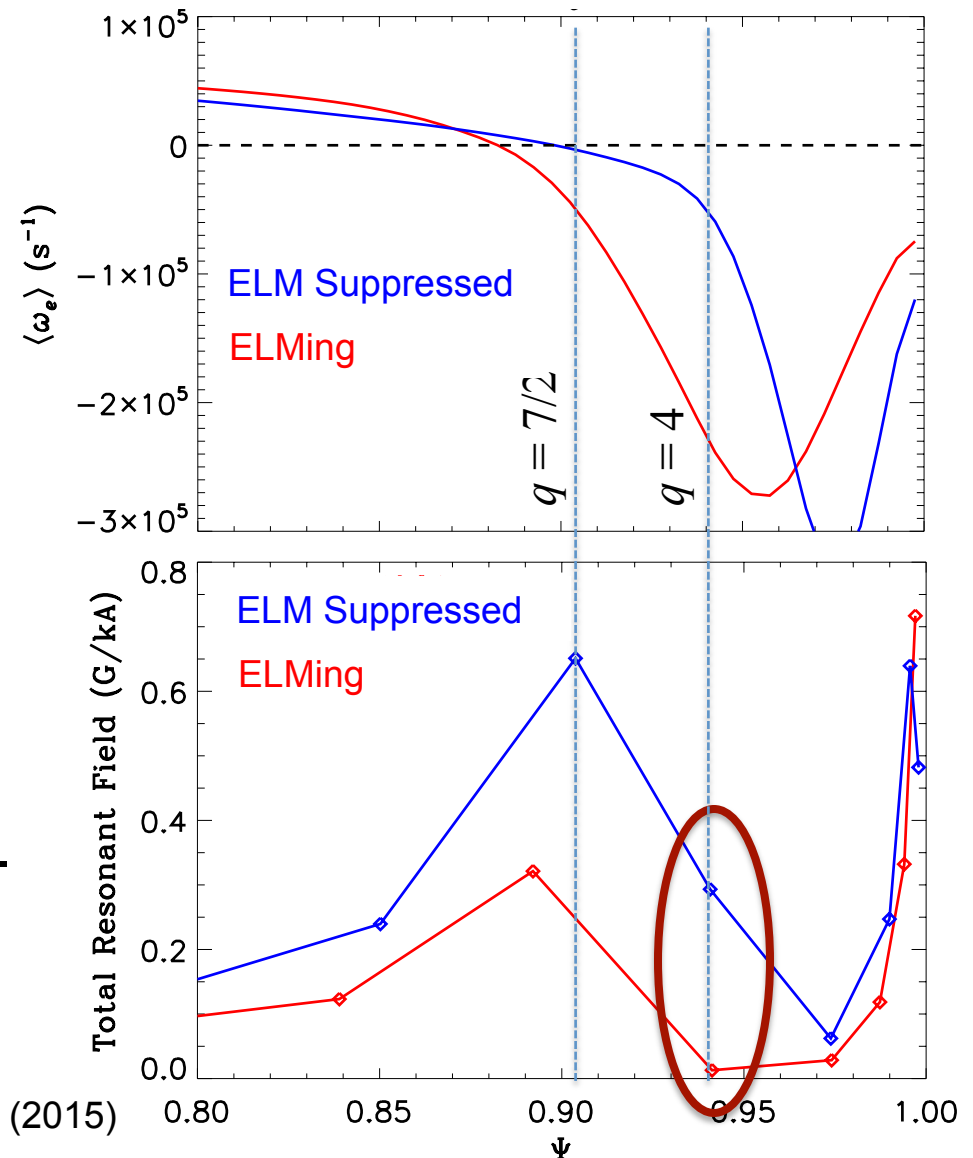


NM Ferraro, et al.  
*Nucl. Fusion* **53**,  
073042 (2013)



# Significant Enhancement of Tearing Response Found in ELM-Suppressed State

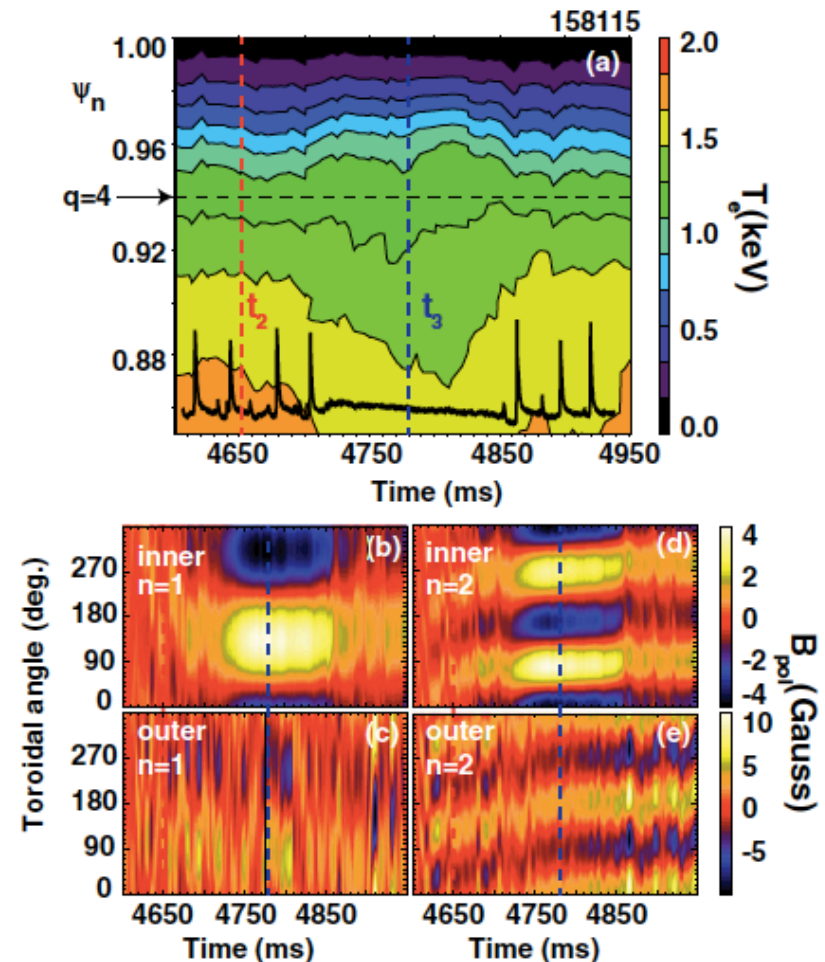
- Experiment applied  $n = 2$  fields with rotating phasing
  - Phase of upper coil held constant, phase of lower coil rotated
- Plasma enters ELM-suppressed state near “even parity” phasing
- Measurements show change of rotation and pressure profiles in ELM-suppressed state
- Modeling shows enhanced tearing near pedestal top in ELM-suppressed state
  - $\omega_e = 0$  moves very close to  $q = 7/2$  surface



Nazikian, et al. PRL 114, 105002 (2015)

# Experiments See Hints of Island Formation

- Measuring small islands ( $\sim 1$  cm) is very difficult experimentally
- In transition into ELM-suppressed state, a bifurcation similar to the formation of a locked island is observed
  - Temperature flattening near top of pedestal
  - Non-rotating magnetic signal
- No island is seen directly. Modeling is still needed to understand results
  - Truly predicting island formation requires nonlinear modeling



Nazikian, *et al.* *PRL* **114**, 105002 (2015)

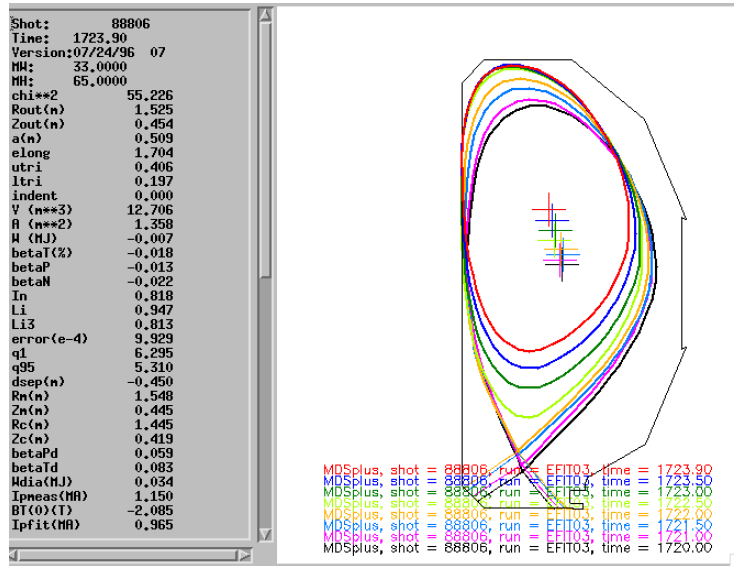
# Summary of RMP ELM Suppression Modeling

- We think we have a good understanding of the perturbed equilibrium
  - Plasma tends to eliminate stochasticity, except possibly near  $\omega_e=0$  location
  - Kinking response plays big role in geometry; validation bears this out
- We don't yet have a good understanding of transport in the perturbed equilibrium
  - EPED model could explain RMP ELM suppression with enhancement of transport near  $\Psi \sim 96\%$
  - Need to couple “transport” codes to 3D equilibrium!
    - In progress with NEO3D, GTC, SPIRAL, XGC
- Tearing at top of pedestal in two-fluid modeling is suggestive
  - Could an island be the source of the transport?
  - This is very difficult to measure experimentally
- We've gotten far with linear modeling, but nonlinear modeling may be required
  - Experimental evidence of bifurcation into ELM suppressed state
  - Opening of island  $> 1$  cm is a nonlinear process

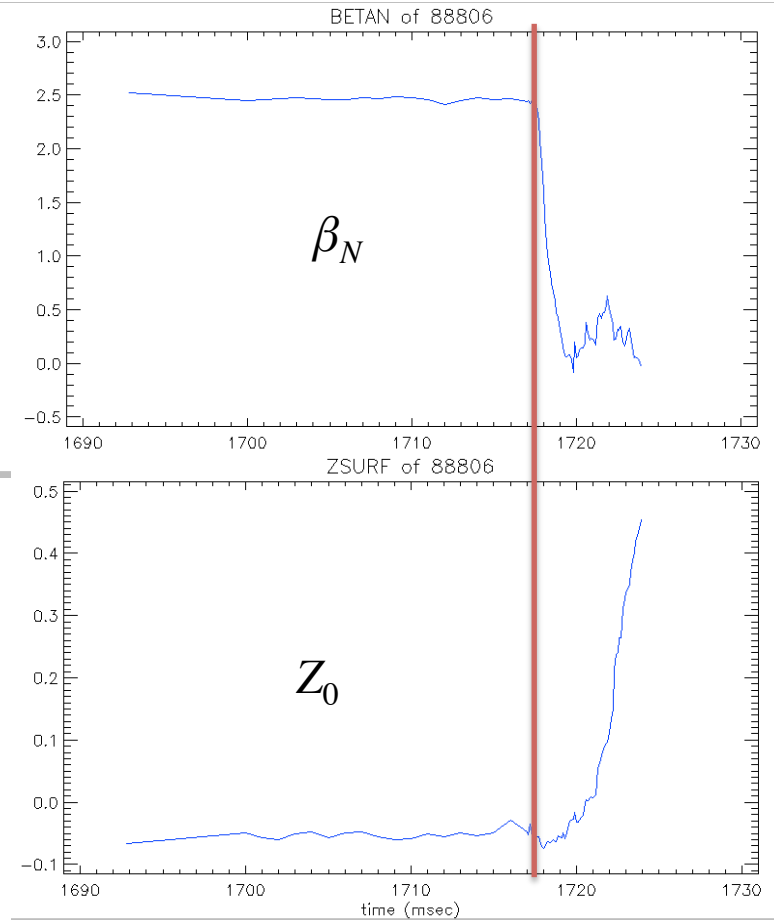
# Disruptions

- There are many causes of disruptions in tokamaks
  - Locked tearing modes; External kinks / Resistive Wall Modes (RWMs); Density (Greenwald) limit; Radiation collapse
- Disruptions have two main components:
  - **Thermal Quench (TQ)**: loss of thermal energy
    - May be due to plasma hitting wall or radiation
  - **Current Quench (CQ)**: dissipation of plasma current
    - Generally involves loss of control; plasma hits wall
    - Large currents are induced in wall (eddy currents) and flow from plasma to wall (halo currents)
- Our focus is on understanding CQ phase
  - M3D-C1's resistive wall model gives unique tool for modeling CQ
- ITER's concerns for CQ phase:
  - Generation of runaway electrons
  - Forces on conducting structures (where and how much). Non-axisymmetric forces are especially problematic.

# Vertical Displacement Events Result From Loss of Vertical Stability Control



- VDEs may be caused by thermal quench (cold VDE), or may cause thermal quench (hot VDE)
- We are interested in how current quench evolves in both cases
  - Eddy currents; halo currents; non-axisymmetries





# Disruption Simulations Initialized using Vertically Unstable EFIT Reconstructions

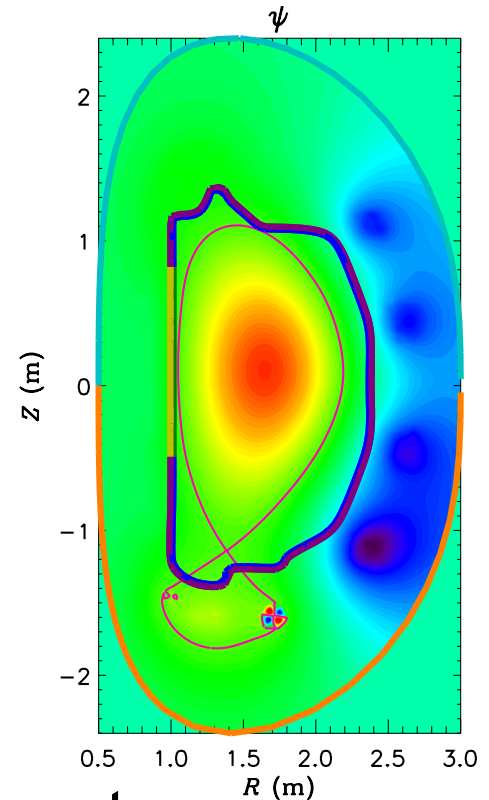
- Nonlinear calculations use fairly realistic plasma parameters

- Spitzer resistivity:  $S_0 \approx 6.8 \times 10^7$
- Anisotropic thermal conductivity:

$$\chi_{\parallel} / \chi_{\perp} = 10^6$$

- RW region approximates first wall, not vacuum vessel here

- Cold-VDE calculations have anomalous  $\chi$  to cause TQ before vertical instability
- Hot-VDE calculations have lower  $\chi$  and remain hot until after plasma touches wall



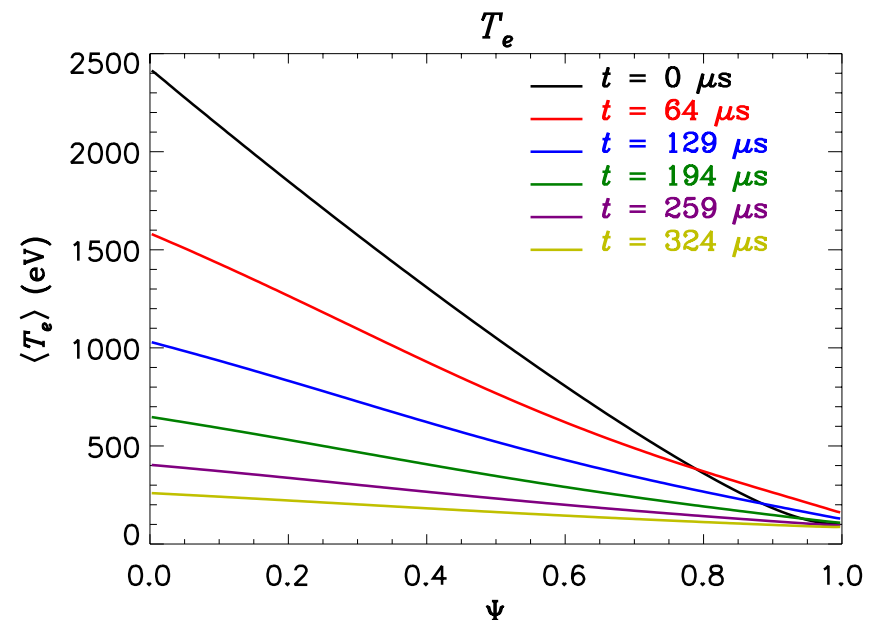
# “Cold-VDE” Features Thermal Quench Before Vertical Instability

- Thermal Quench (TQ) is modeled by including anomalous thermal conductivity

$$100 < \chi_{\perp} < 800 \text{ m}^2/\text{s}$$

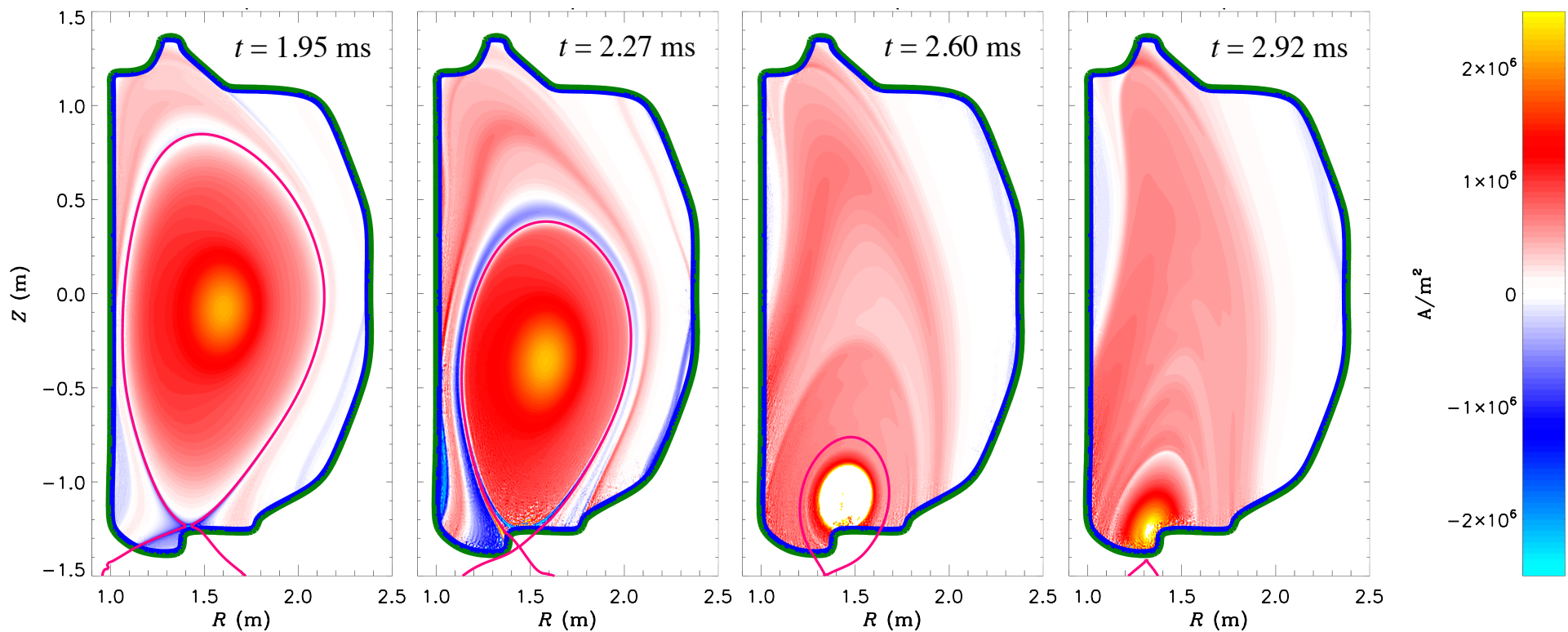
- Thermal quench happens on  $\sim 100 \mu\text{s}$  timescale

- (TQ phase not meant to be physically realistic)

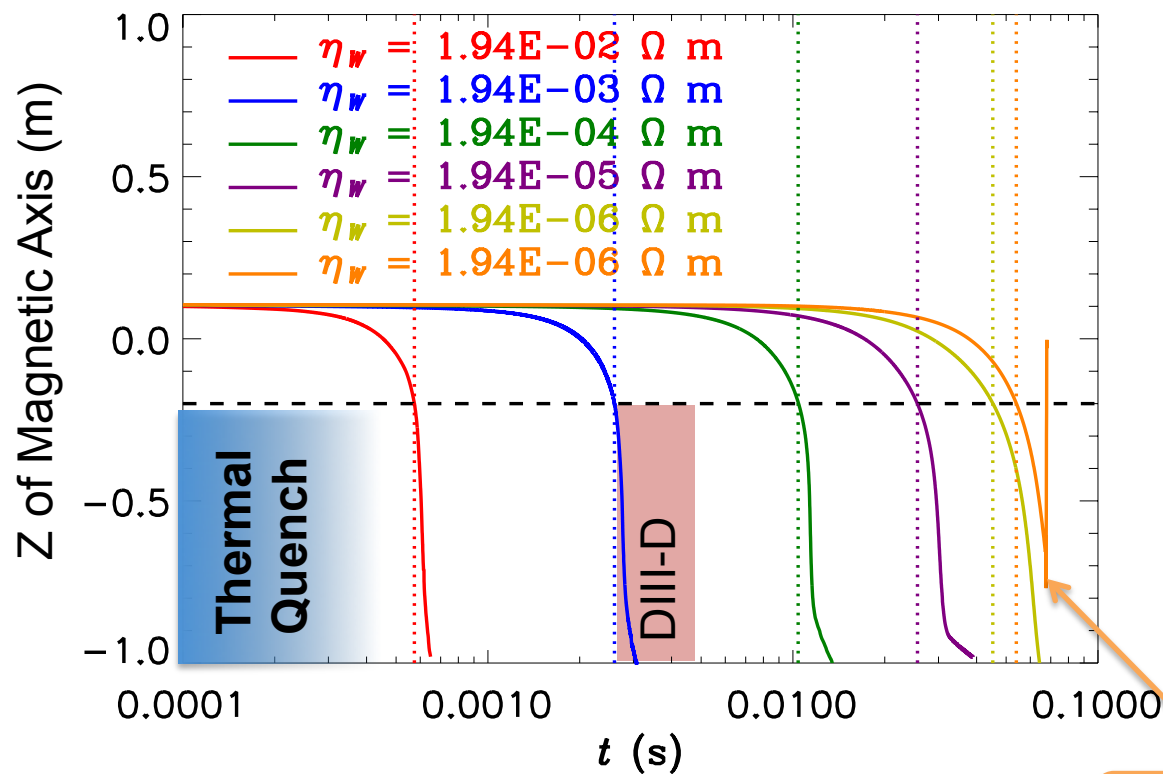


# Strong Currents Form in Halo Region; Response Currents form in Wall and SOL

- Both  $\text{co-}I_p$  and  $\text{counter-}I_p$  currents are seen in the open field-line region



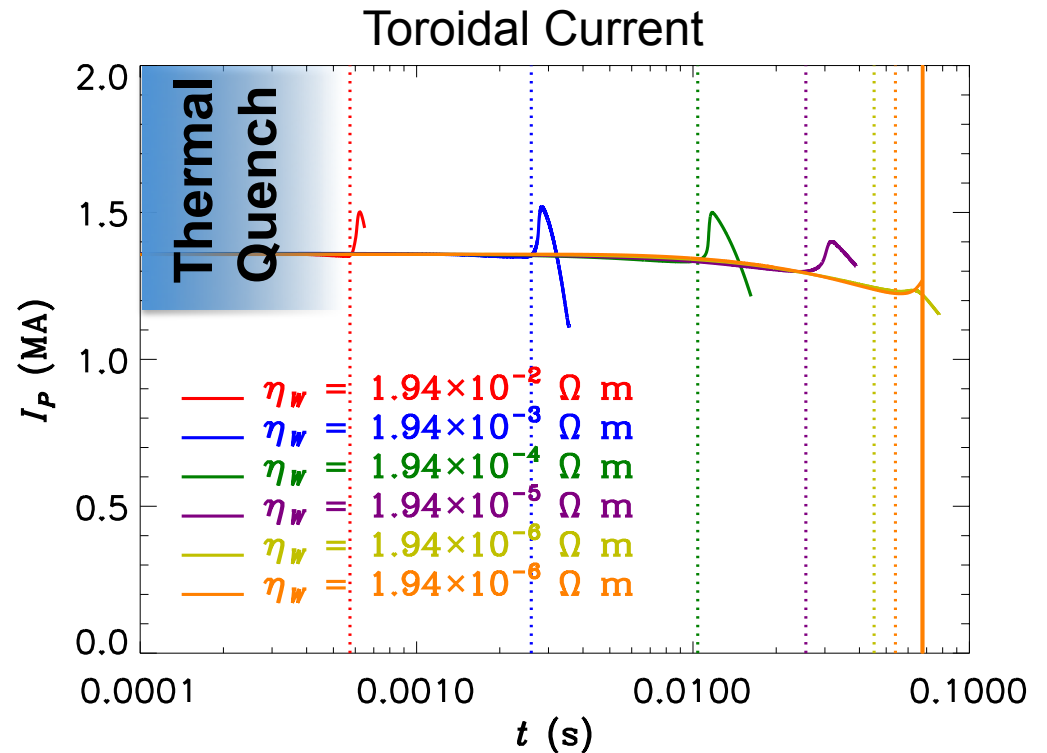
# Timescale of VDE Is Determined by Wall Resistivity ( $\eta_w$ )



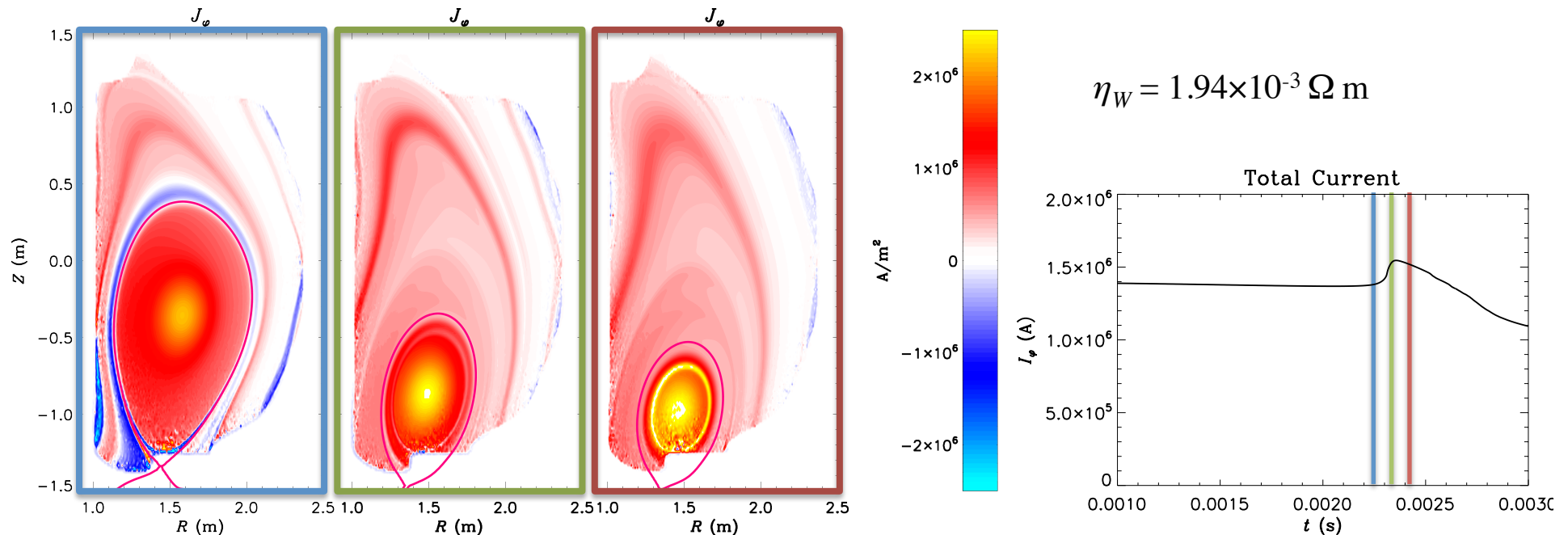
- Physically realistic VDE timescale in DIII-D is a few ms
  - Simulations bracket this regime
- Timescale weakly dependent on parameters other than  $\eta_w$

# Current Spike Observed Just Before Current Quench; Related to Vertical Motion of Plasma

- Current spike occurs soon after plasma makes contact with the wall
- There is no spike associated with the thermal quench
- Spike is smaller when  $\eta_W < \eta_{SOL}$



# Current Spike Results from Loss of Induced Counter- $I_P$ Currents When Plasma Contacts Wall

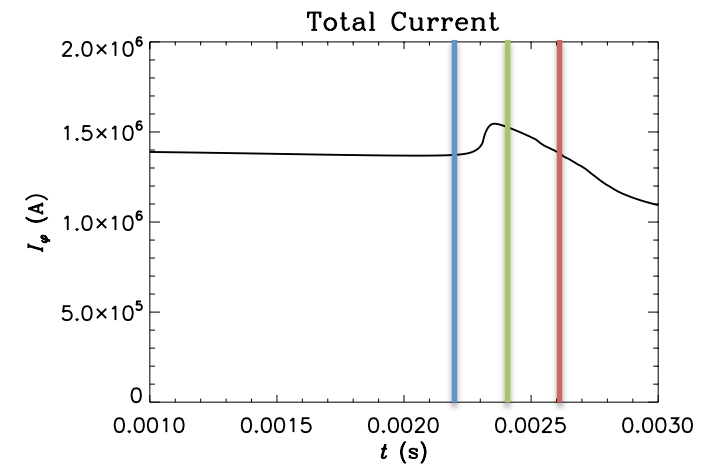


- Counter- $I_P$  response currents are induced by motion of leading edge of plasma
- When plasma contacts wall, these currents quickly dissipate
- Eventually (after spike), toroidal current in wall flips sign to oppose  $I_P$  decay

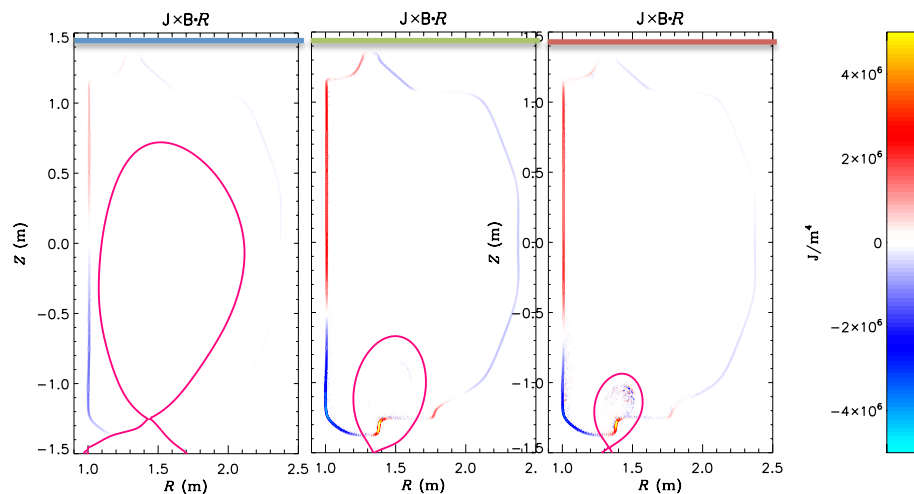


# Axisymmetric Forces Reach Maximum Just After Current Spike

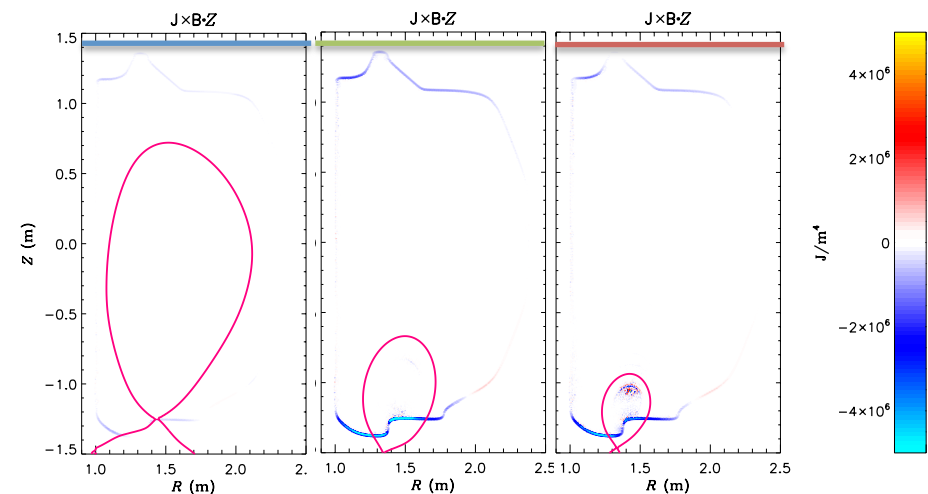
- Axisymmetric forces peak at  $\sim 100 \text{ kN /m}^2$
- Force distribution does not evolve significantly
- Currents in plasma are strong, but mostly force-free



Radial  $\mathbf{J} \times \mathbf{B}$  Force

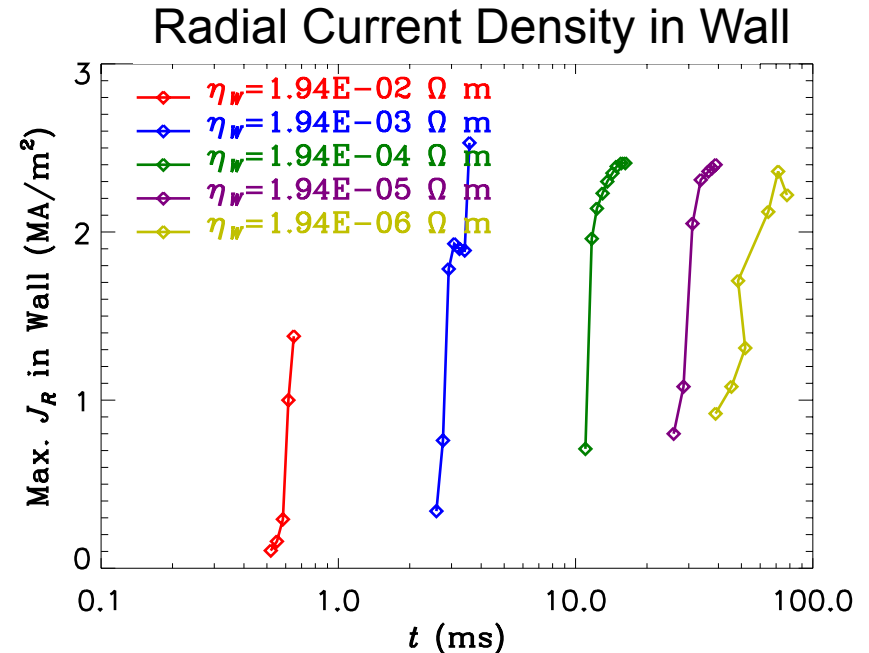
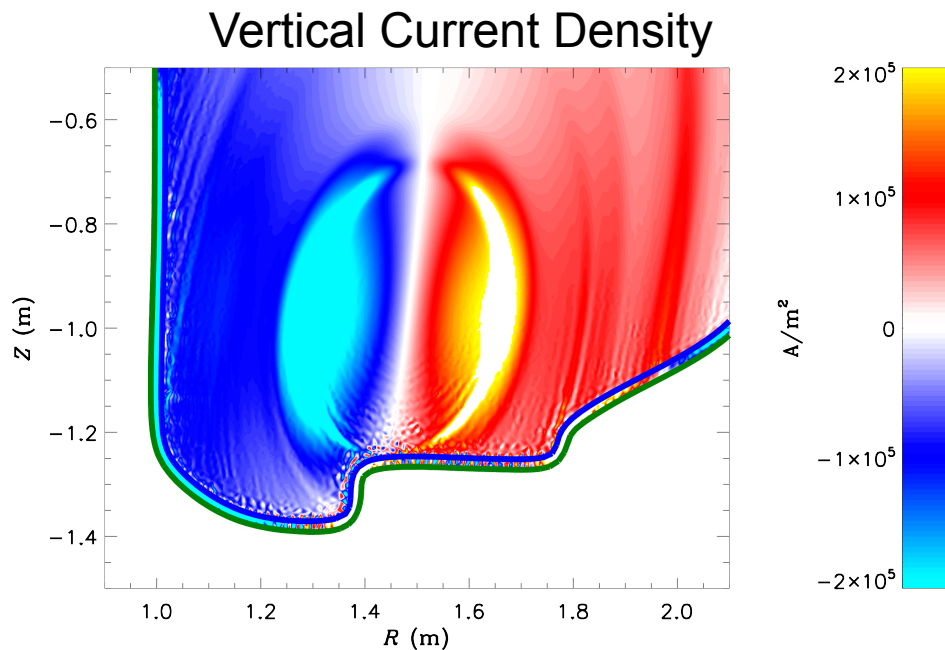


Vertical  $\mathbf{J} \times \mathbf{B}$  Force



# Maximum Axisymmetric Halo Currents and Wall Force Depend Weakly on $\eta_W$

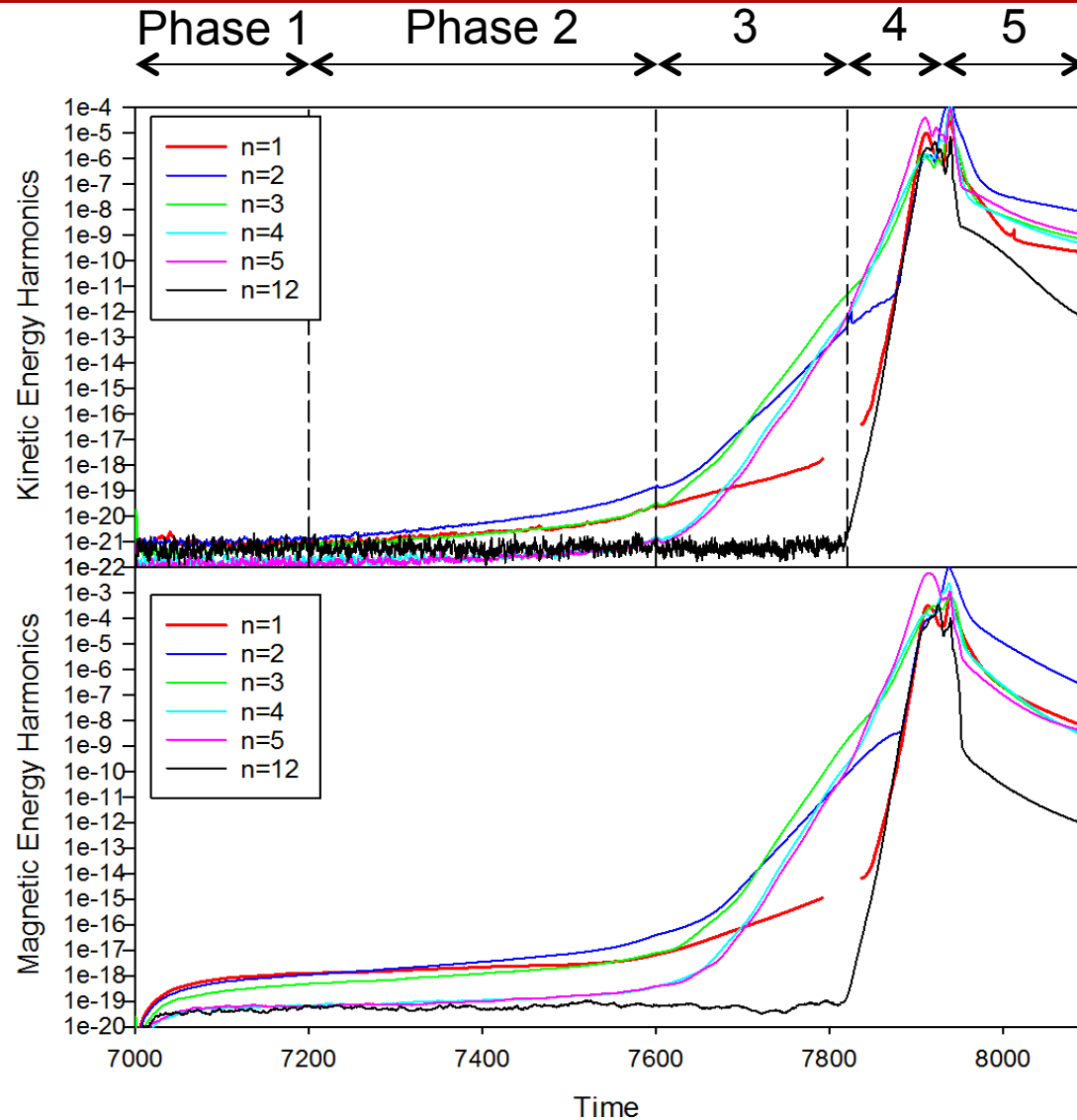
- Halo currents can exceed 100 kA/m<sup>2</sup>; observed both on divertor floor and center post
  - Distribution likely depends on temperature (resistivity) of open field-line region
- Maximum Halo currents and force density in the wall is only weakly dependent on wall resistivity
- Impulse to vessel increases with  $\tau_W$  because force is applied for longer time



# 3D Evolution Depends on Thermal History of Plasma

- Two competing effects determine  $q_{\text{edge}}$  once plasma is limited:
  1.  $q_{\text{edge}}$  drops as plasma shrinks and is scraped off by limiter
  2.  $q_{\text{edge}}$  rises because of resistive decay of  $I_P$
- In cold-VDE (TQ happens before VDE), resistive decay is fast and  $q_{\text{edge}}$  rises
  - Plasma remains stable to  $n > 0$  MHD
- In hot-VDE (no TQ before VDE), resistive decay is slow and  $q_{\text{edge}}$  drops
  - Plasma eventually becomes unstable to  $n > 0$  MHD
  - $n > 0$  instability potentially causes strong Halo currents, wall forces, and TQ
- 3D simulations are expedited by testing linear stability of 2D simulations; then turning on 3D model when instability is found

# 3D Nonlinear Hot-VDE Calculation Shows Development and Saturation of 3D Modes



Phase 1:  
Axisymmetric

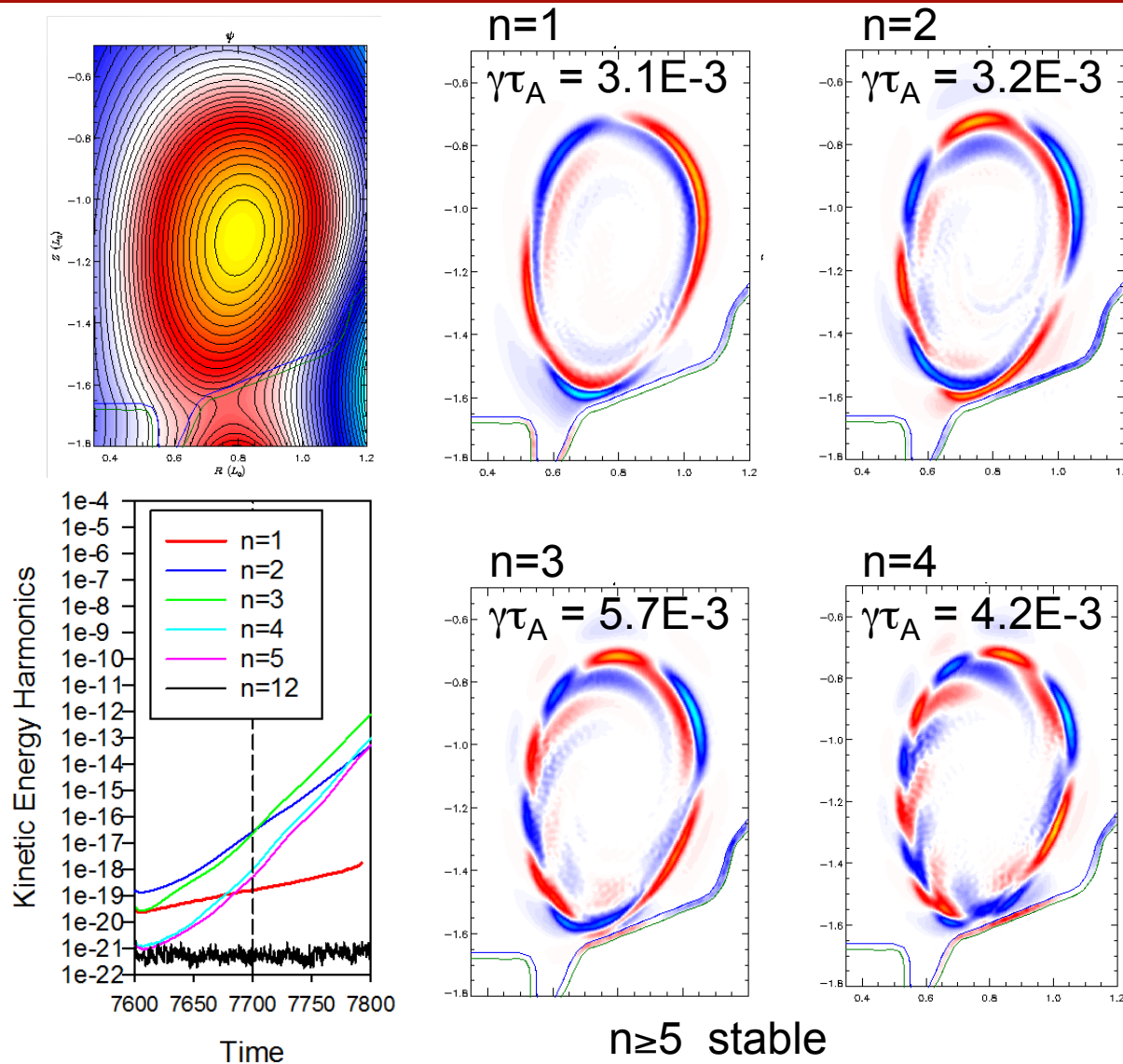
Phase 2:  
 $n=2$  tearing? mode dominates

Phase 3:  
 $n=3$  tearing? mode begins to dominate

Phase 4:  
 $n=1$  and higher- $n$  modes begin to grow

Phase 5:  
Plasma gets scraped off and strongly wall stabilized

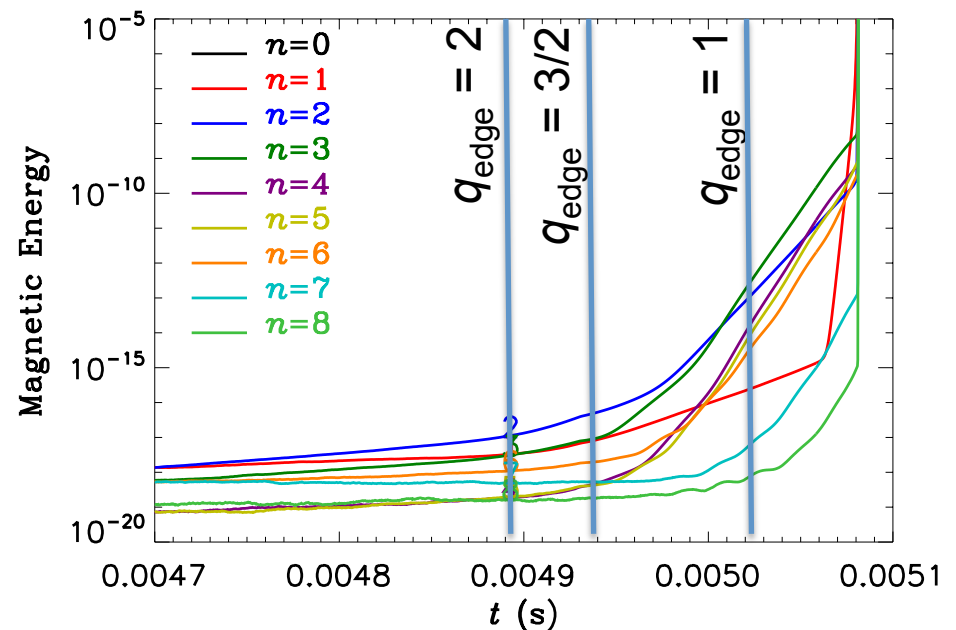
# Linear Stability Analysis Finds Agreement With Nonlinear Calculation



- Linear stability of axisymmetric solution is calculated at  $t = 7700 \tau_A$ 
  - Evolution of  $q$  profile in 2D and 3D cases is nearly identical
- Linear stability finds unstable low- $n$  modes before nonlinear calculation does
- Growth rates are relatively small

# In Hot-VDE Simulations, $q_{\text{edge}} < 1$ Before Non-Axisymmetry Becomes Significant

- Non-axisymmetric modes start growing when  $q_{\text{edge}}=2$ , but are still at small amplitude when  $q_{\text{edge}}=1$
- For these cases, non-axisymmetric wall forces are small and highly localized near divertor
  - This is good news for ITER
  - Might not be the case for disruptions caused by non-axisymmetric instabilities
  - Might not be the case when non-axisymmetry of conducting structures is considered





# Summary

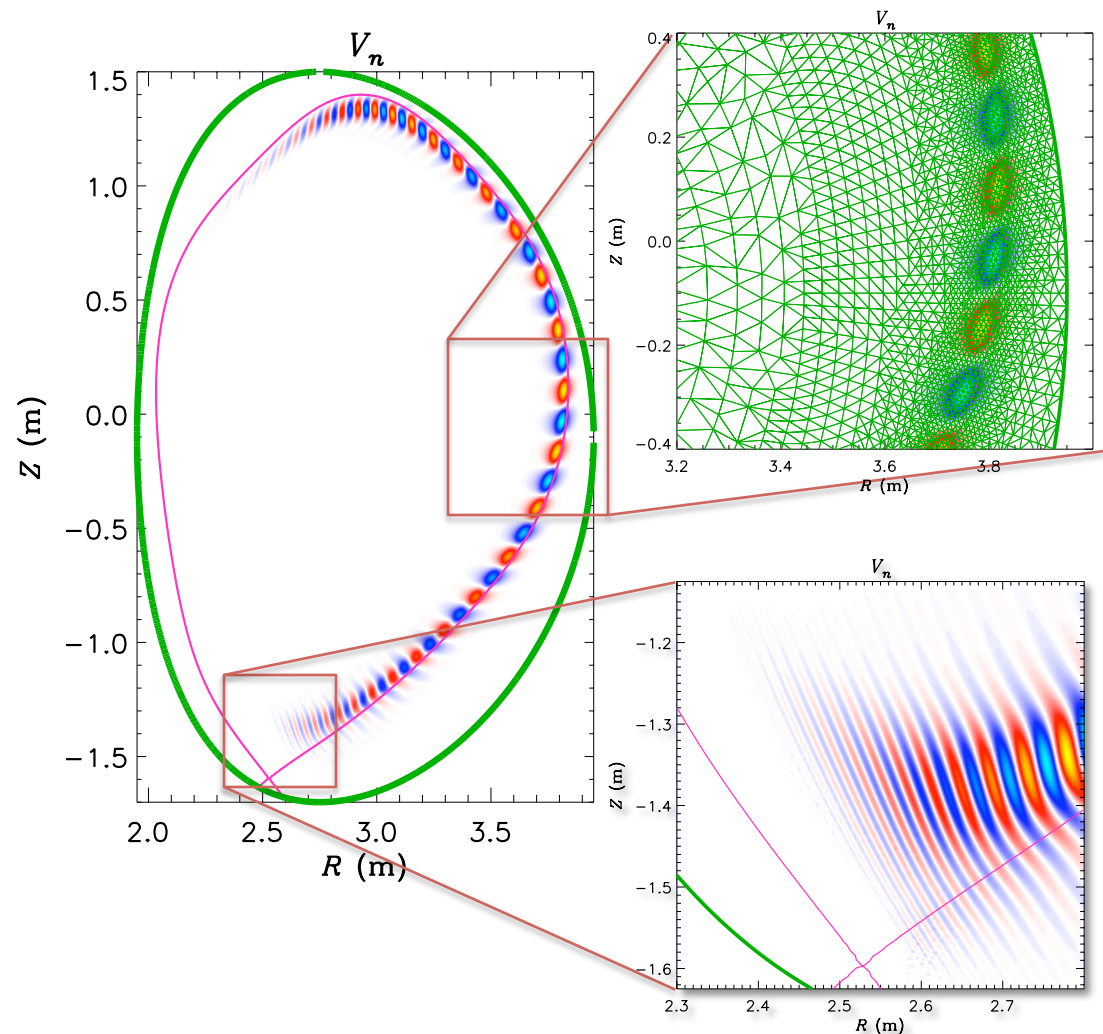
- Nonlinear models of VDEs provide quantitative estimates of wall forces and halo currents
  - Preliminary comparisons with NSTX data show excellent agreement with halo current magnitude
  - Non-axisymmetric forces from VDE are small and localized (in these cases)
- Thermal history influences non-axisymmetric evolution of VDE
  - If plasma is cold before CQ, plasma remains kink-stable
- Still lots of unanswered questions
  - How do we know how close we are to a disruptive instability threshold?
    - Many linear stability thresholds can be crossed without disrupting
  - How can we mitigate the effects of disruptions?
  - How does disruption proceed when caused by a non-axisymmetric instability (like a locked mode)?

# Extra Slides

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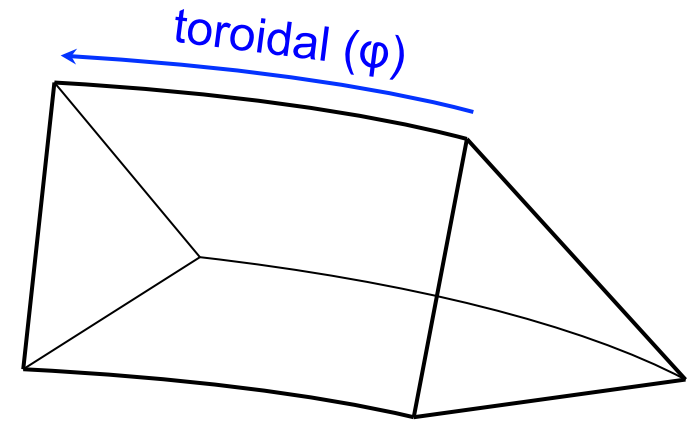
# Mesh Packing Allows Fine Resolution In Regions of Interest

- M3D-C1 uses meshing software from SCOREC group at RPI
- Mesh can be packed anisotropically
- Triangular unstructured mesh allows field-aligned mesh packing with no problems near axis or x-point
- Mesh can be adapted dynamically (though we never do this)



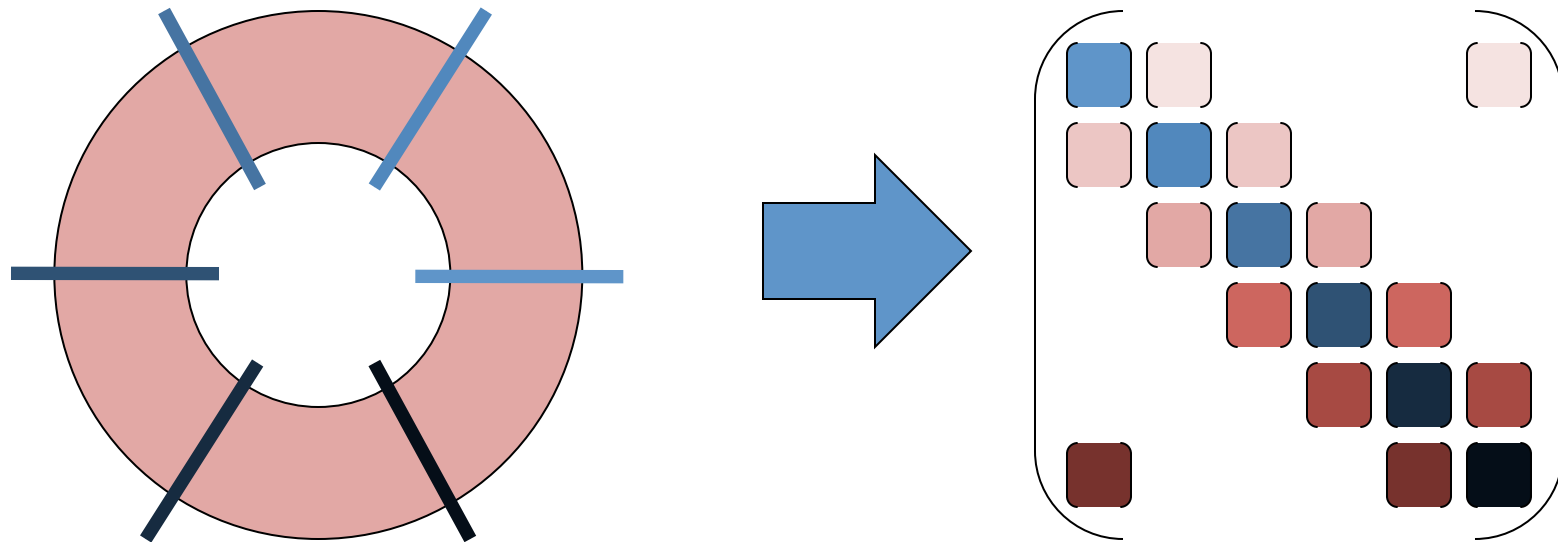
# High-Order $C^1$ Finite Elements

- Elements are a tensor product
  - Poloidally: 2D (triangular) reduced quintic elements
  - Toroidally: 1D cubic Hermite elements



- High-order elements lead to more compact matrices
- $C^1$  in all directions
  - Allows 4<sup>th</sup> degree weak derivatives
  - Allows efficient use of flux/potential representation

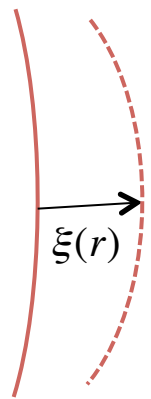
# Hermite Elements in Toroidal Direction Yields Block Cyclic Tridiagonal Matrix



- Each plane yields a diagonal block
  - Only neighboring planes are coupled
  - Coupling is much stronger within planes than among planes (block diagonal dominant)
- Block-Jacobi preconditioning is effective
  - Diagonal block are factorized directly using SuperLU or MUMPS
  - This method is now available in PETSc. Thanks H. Zhang!

# Assumption of Linearity May Be Suspect Near Edge and Rational Surfaces

- “Displacement” may be defined by movement of isotherms:



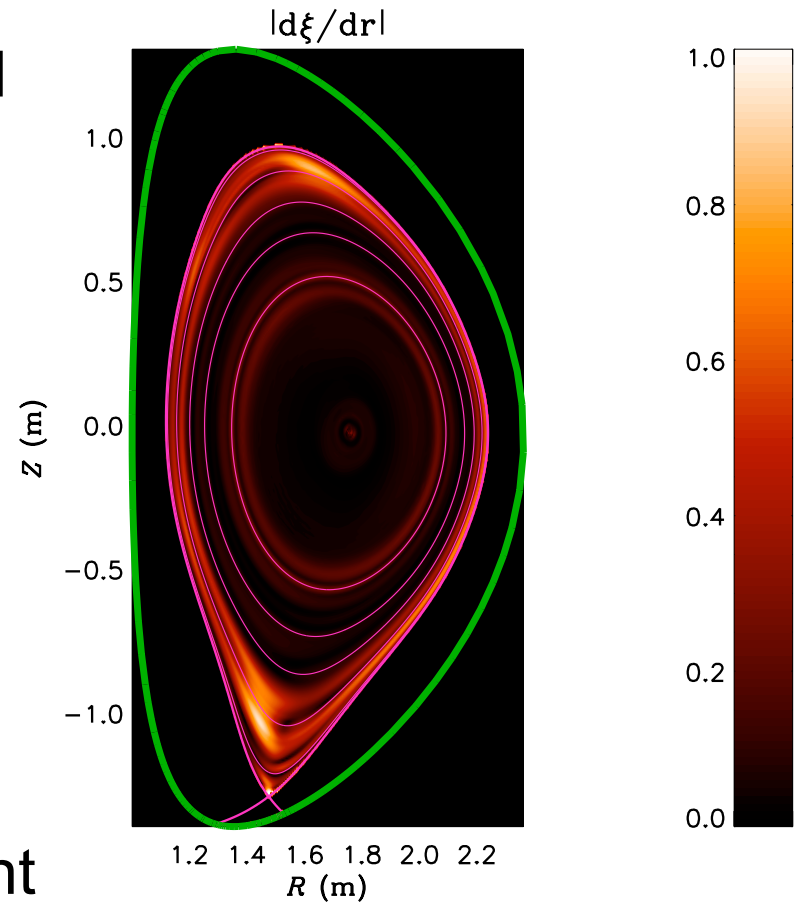
$$T_0(r + \xi) + \delta T(r + \xi) = T_0(r)$$

$$\left[ T_0(r) + \frac{dT_0}{dr} \xi \right] + \delta T(r) = T_0(r)$$

$$\xi = -\frac{\delta T}{dT_0/dr}$$

- Overlap of adjacent surfaces is possible, especially near mode-rational surfaces, edge, & x-point

Overlap criterion:  $\left| \frac{d\xi}{dr} \right| > 1$



DIII-D 117327  $n=1$